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Indirect estimation of uniaxial compressive strength of limestone using rock index tests through computational methods

Asma Hasheminezhad ¹, Abbasali Sadeghi ^{2*}

¹ Department of Civil Engineering, Birjand Branch, Islamic Azad University, Birjand, Iran.

² Department of Civil Engineering, Mashhad Branch, Islamic Azad University, Mashhad, Iran.

*Correspondence should be addressed to Abbasali Sadeghi, Department of Civil Engineering, Mashhad Branch, Islamic Azad University, Mashhad, Iran. Tel: +9821 73228104; Email: <u>abbasali.sadeghi@mshdiau.ac.ir</u>.

ABSTRACT

Uniaxial compressive strength (UCS) is a critical geomechanical property of rocks that is frequently required during the preliminary stage of civil engineering design. To obtain the UCS value needs a time consuming and costly process of samples collection and preparation. There are alternate methods for determining UCS that can be conducted in situ. In this study, an attempt has been made to predict the UCS of limestone from some simple and inexpensive rock index tests such as block punch index (BPI), ultrasonic wave velocity test (Vp), Schmidt's hammer rebound number (SHR), and point load index tests (I_s50). According to extensive experimental results, a database was established for estimation of the UCS via three computational methods such as support vector machine (SVM), adaptive neuro-fuzzy inference system (ANFIS), and multi layer perceptron (MLP). After developing the models and considering several performance indices including the coefficient of determination R^2, variance account for (VAF), root mean squared error (RMSE), and using simple ranking method, the predictive models were applied to obtain the best model. Consequently, SVM approach predicted the UCS of limestone with higher accuracy in comparison to other studied computational methods.

Keywords: Limestone, Uniaxial compressive strength (UCS), Rock index tests, Computational methods

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1. INTRODUCTION

Note: The strength of the strength (UCS) of intact rocks is one of the crucial parameters that is widely underutilized in rock mechanics and engineering. Geomechanical properties of rock allude to the strength characteristics, so many researches have conducted on the simple procedures

for obtaining the *UCS* of rock [1-4]. Some methods including regression analysis and artificial neural network have considered to estimation *UCS* [5]. Obtaining the *UCS* value needs a time consuming and costly process of samples collection and preparation [6], to overcome this difficulty used for the non-

destructive testing and various predictive models based on index tests to obtain the mechanical characteristics of the rock mass [7-13]. Multi-layer perceptron (MLP), statistical techniques, Mamdani fuzzy logic (MFL), Sugeno fuzzy logic (SFL), adaptive neuro-fuzzy inference system (ANFIS) and support vector machine (SVM) have been applied to develop UCS predictive models in rock engineering [14-15]. Abbaszadeh Shahri et al. (2013) reported better application of multivariate regression analysis for obtaining UCS as a function of some simple index tests [16]. Azimian (2016) summarized empirical equations that relate unconfined compressive strength of sedimentary rocks. Multivariate regression introduced assailable tool for predicted UCS by Schmidt hammer and P-wave velocity (v_n) [17]. SVM is the most appropriate model for prediction UCS of travertine rocks proposed by Barzegar et al (2016) [18]. Omar (2016) studied 420 soil samples were located in the UAE and were examined including point load test $(I_{s(50)})$ and ultrasonic velocity (USV) through its pulse velocity. The results showed that the monographs could predict well the unconfined compressive strength within $\pm 10\%$ confidence interval for both $I_{s(50)}$ and USV [19]. Shaoqian et al. (2021) used 30 sets of regular cylindrical specimen tests between PLS and UCS are conducted on limestone mines. The correlation relationship between PLS and UCS is found by using four basic fitting functions. Then, a prediction model is established by using SVM algorithm. Multiple training test data are used to achieve high-precision prediction of UCS and the

2. MATERIALS AND METHODS

ANNs are systems and new computing methods for machine learning, knowledge representation and finally applying knowledge to predict output from complex systems. The main idea of these networks is based on the function of biological nervous system to process data and information in order to learn and create knowledge. This system consists of many processing elements called neurons, that work together to solve a problem. Neural network (*NN*) needs to be trained and process large number of information as input pattern. This feature allows the interpolation great, especially when data is input with the noise and failure (not accurate). *NNs* may be applied as a direct replacement for the correlation, results show it is less different from the actual values. Especially, the R^2 coefficient reached 0.98. The SVM model prediction performance is significantly better than the traditional fitting function [20]. Garrido et al. (2022) heated the limestone samples to 105 (standard conditions), 200, 300, 400, 500, 600, 700, 800 and 900 °C and cooled slowly (in air) and quickly (immersed in water). After that, UCS, PLT and Leeb hardness test (LHT) tests were performed to evaluate the changes as temperature increases. Results showed that decreases over 90% in UCS, of between 50 and 70% in PLT index and smaller than 60% in LHT index [21]. Wei et al. (2023) established an artificial neural network (ANN) approach to predict the uniaxial compressive strength (UCS) in MPa of sedimentary rocks using different input parameters; i.e., dry density (ρ_d) in g/cm^3 , Brazilian tensile strength (BTS) in MPa, and wet density (ρ_{wet}) in g/cm^3 . The developed ANN models, M1, M2, and M3, were divided as follows: the overall dataset, 70% training dataset and 30% testing dataset, and 60% training dataset and 40% testing dataset, respectively. In addition, multiple linear regression (MLR) was performed for comparison to the proposed ANN models to verify the accuracy of the predicted values [22]. This study is only the theoretical research to evaluate the performance of artificial intelligence models including Multi-layer perceptron (MLP),Adaptive neuro-fuzzy inference system (ANFIS) and support vector machine (SVM) to estimate the UCS values of limestone rocks.

linear regression, multiple regression, trigonometric and statistical analysis techniques. Therefore, the *NN* can act as an expert. Training is done with the release of a network. Since the *1940s*, *ANNs* have been utilized in various applications in engineering. *ANN* general software systems mimic the *NNs* of the human brain. Artificial neural networks can perform generalized learning classification, identification and optimization functions associated with actions. Since the *ANNs* have the ability to work with incomplete data, with fault tolerance, they indicate a gradual convergence. They can easily form models for complex problems. Particularly in the development of semi-structured or unstructured solutions to

problems, *ANN* models can provide very successful results. In addition, they are cheaper, faster and more adaptable than conventional methods, and mathematical models based on biological *NNs*. *ANN* processing information using simple interlocking elements is called neurons, which are situated in particular layers of the network [14]. In this research,

2.1. MLP model

MLP model consists of three layers including an input layer, output layer, and intermediate or hidden layers. Therefore, to perform a parametric study, a *MATLAB* code was prepared. Each layer included one or more nodes (neurons) [26]. The lines show the flow of information between the nodes that is transmitted from one node to the next. The study of

2.2. ANFIS model

To develop model *ANFIS*, is used a hybrid algorithm that combines the method of least squares and gradient descent was released to optimize and adjust the parameters of the membership function of Gaussian and coefficients, equations, the linear

2.3. SVM model

Support Vector Machines is a powerful methodology for solving problems in nonlinear classification. Least Squares Support Vector Machines (*LS-SVM*) are reformulations to the standard *SVMs* that lead to

3. RESULTS AND DISDUCCION

In order to achieve the purposes of this study were applied three non-linear methods, namely MLP, ANFIS and SVM. During procedure MLP model, were divided datasets such as BPI (MPa), SHR, vp (m/s), I_{s50} (MPa) as input and UCS (MPa) as the output of training (70% of the dataset) and test (30% of the dataset) subset for the modeling. In Table 2, the obtained values of the performance indices for the proposed MLP, ANFIS and SVM models. The values of R^2 , *RMSE* and *VAF* are compared with each other in this research for specifying the best computational method among MLP, ANFIS and SVM for predicting the uniaxial compressive strength of limestone. The supplementary information of the coefficient R^2 calculation is presented in References [27-29]. The coefficient R^2 has been used as a vital quality factor in various engineering applications. RMSE measures the deviation of predicted values from the observed values. RMSE is used for comparing the accuracy of the UCS values of limestone rocks are predicted based on MLP, ANFIS and SVM. In addition, the laboratory data sets and the process of tests are extracted from past studies [23-25]. In the following, some empirical relationships between the UCS and mechanical properties are indicated based on Table 1.

artificial neural networks composed of four distinct types of layers, an input layer, two hidden layers and an output layer, and the number of neurons in hidden layers 5 and 3. Data sets such as *BPI (MPa)*, *SHR*, v_p (m/s), I_{s50} (*MPa*) were divided as input and *UCS (MPa)* as the output of education (70% of the dataset) and test (30% of the data set) subset for the modeling.

output [18]. Reduction of fuzzy clustering based on density measurement data points in the feature space, to create a law-based relationship between input and output variables that were used.

solving linear *KKT* systems. In this study, *SVM* model with *RBF* kernel performance tests have shown the best results. The models were created by using *LS-SVMlab Toolbox* [20].

different models for dataset. RMSE is never to be negative, and a value of zero shows a good agreement of a prediction model to the data. In fact, the low values of *RMSE* shows high accuracy in predicting the data [30]. VAF is another measure to evaluate the accuracy of prediction models. High VAF shows high performance to predict the data [31]. Correlation between the predicting and measured UCS for training and testing datasets are shown in Figures 1 to 3. The result of *MLP* model is based on \mathbb{R}^2 , *RMSE* and VAF value are obtained, respectively, 0.899, 12.104 and 69.272 for testing step and 0.933, 9.936 and 80.207 for training step. The ANFIS model in the training step resulted in the R^2 of 0.974, RMSE of 8.729 and VAF of 83.538 and for the testing step are 0.949, 10.456 and 83.494, respectively in Fig 3, respectively. The result of the developed SVM, that created by using LS- SVMlab Toolbox, based on R^2 , for testing step in Fig 4 is 0.967 and on RMSE and

VAF value are 9.439 and 92.122 and for training stepare obtaare 0.993, 6.891 and 95.489, respectively. TheThe SVIrelation between predicting UCS and measured UCS,ANFIS Iof models are shown in Figures 4 to 6. The result ofmean, siMLP, ANFIS and SVM models is based on \mathbb{R}^2 value

are obtained 0.902, 0.951 and 0.991, respectively. The *SVM* model has higher accuracy than *MLP* and *ANFIS* models, in addition to, Standard deviation and mean, simultaneously are better in this model.

Rock property	Rock type	Correlation	Coefficient of determination (R)	Reference	
BPI		UCS=5.5 BPI	0.94	[32]	
	23 different rocks	UCS=9.82 e ^{-0.108BPI}	0.83		
		$UCS = 40.48 \ln(BPI) - 13.4$	0.82		
	9 different rocks	UCS= 6.1 BPI - 3.3	0.86	[33]	
	Marl, mudstone,				
	sandstone, schist	UCS=5.25BPI	0.90		
	23 different rocks	UCS= 5.1 BPI	0.90	[34]	
	Limestone,travertine,andes ite,sandstone ,marl and schist	$UCS = 0.8 \times 2.266 m_i^{0.3824} \times BPI$	0.86	[35]	
	Limestone,sandstone,mica schist, shale and travertine	$\begin{array}{c} \text{UCS=5.1}\times\\ 1.47^{-0.00456\alpha}\text{BPI}_{\alpha} \end{array}$	-	[23]	
	Granite, schist, sandstone	UCS=4.93BPI _c	0.87	[36]	
	Granite, schist, sandstone	UCS=5BPI	0.86	[25]	
SHR	Granite	$UCS=7.45e^{(0.07SHR)}$	0.92	[37]	
	11 different rock	$UCS=4.24e^{(0.059SHR)}$	0.66	[38]	
	Shale, anhydrite, dolomite	UCS=3.201SHR-46.59	0.76	[39]	
	9 different rocks	UCS=0.0028SHR ^{2.584}	0.92	[13]	
	Granite	UCS=1.15SHR-15	0.91	[9]	
	Conglomerates rock	UCS=0.678SHR	0.88	[40]	
	Granite, schist, sandstone	UCS=2.33e ^{0.065SHR}	0.87	[25]	
	47 different rocks	UCS=0.1383SHR ^{1.743}	0.913	[41]	
Vp	27 different rocks	UCS= $9.95v_p^{1.21}$	0.83	[10]	
	Serpentinites	UCS= $0.11v_p - 515.56$	0.81	[42]	
	12 different rocks	UCS= $0.1333v_p - 227.19$	0.96	[43]	
	Peridotites	UCS= $0.14v_p - 899.33$	0.83	[44]	
	Conglomerates rock	UCS=0.005v _p	0.94	[40]	
	13different rocks	UCS= $0.039v_p - 50.01$	0.934	[45]	
I _{s50}	22 different rocks	$UCS=8.41I_{S50} + 9.51$	0.85	[10]	
	Coal measure rocks	$UCS=23.62I_{S50} - 2.69$	0.93		
	23 different rocks	UCS=15.3I _{s50}	0.83	[46]	
	9 different rocks	$UCS = 9.08I_s + 39.32$	0.85	[38]	
	38 different rocks	$UCS=10.22I_{s50} + 24.31$	0.75	[11]	
	Granite	UCS=18I _{s50}	0.97	[37]	
	11 different rocks	UCS=100ln I_{s50} + 13.9	0.98	[47]	
	Serpentinites	UCS=19.79I _{s50}	0.74	[42]	

Table 1. Some empirical relationships between the UCS and mechanical properties.

Table 2. Ranking values of the trained and testing steps for predict UCS

Model	Training Step			Testing Step		
	R ²	RMSE	VAF	R^2	RMSE	VAF
MLP	0.933	9.936	80.207	0.899	12.104	69.272
ANFIS	0.974	8.729	83.538	0.949	10.456	83.494
LS-SVR	0.993	6.891	95.489	0.967	9.439	92.122

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Figure 1. Value of \mathbb{R}^2 of *MLP* model (a) test (b) train



Figure 2. Value of R^2 of ANFIS model (a) test (b) train



Figure 3. Value of \mathbb{R}^2 of *SVM* model (a) test (b) train



Figure 4. Measured and estimated UCS for limestone rocks in MLP model



Fig 5. Measured and estimated UCS for limestone rocks in ANFIS model



Figure 6. Measured and estimated UCS for limestone rocks in SVM model

4. CONCLUSION

In this study, three non-linear methods that are the *MLP*, *ANFIS* and *SVM* models were compared. The models were tested using 21 testing samples. All models to predict *UCS* indicated satisfactory results

in relation to statistical performance metrics. Thus, the models were passable to predict the *UCS* of limestone. The models examined for prediction, provided relatively lower errors for training data. In

comparison *ANFIS* model and *MLP* model, *ANFIS* model gave better performance in prediction of the *UCS* than the *MLP* model. *SVM* model with *LSSVR* function yielded the most appropriate results in the testing step and included the highest $R^2=0.993$, the *RMSE*= 9.439 *MPa* and *VAF* =92.122 *MPa*. It was

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concluded that the *SVM* model was superior to the other developed models that was expected to be bound up with dimensional independence. It is noted that the results of this research are within the scope of modeling and examined samples.

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